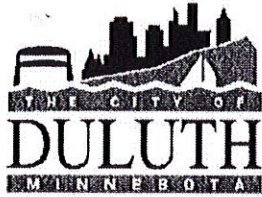


leon. r. situ @ gmail. com



CITY OF DULUTH  
CITY CLERK'S OFFICE  
330 City Hall | 411 West First Street  
Duluth, Minnesota 55802-1189  
Phone (218)730-5500  
Fax (218) 730-5923

FOR OFFICE USE ONLY  
DATE 4-25-18  
LICENSE # \_\_\_\_\_

GOVERNMENT DATA PRACTICES ACT - CLASSIFICATION WARNING: The data you supply on this form will be used to process the license you are applying for. You are not legally required to provide this data, but we will not be able to process the license without it. Some of the data will be classified as public data if and when the license is granted. Private financial information including a tax identification number and social security number are classified as private data and will be available to governmental personnel and other governmental agencies whose access is necessary to perform their official duties.

### LICENSE APPLICATION

LICENSE

OFF SALE LIQUOR TRANSFER  
LEVEL 4 INVESTIGATION FEE (ONE TIME)

FEE

\$358.00  
209.00  
Total \$567.00

LICENSEE NAME/ADDRESS/PHONE NO.:

Shanty Bottle Shop 2.0, Inc  
1829 3rd Ave South Apt 108  
Minneapolis MN 55404  
218-310-1023 , 612-413-4222

BUSINESS NAME/ADDRESS/PHONE NO.:

Shanty Bottle Shop  
1231 E 4th Street  
Duluth MN 55805  
218-310-1023

MANAGER'S NAME/ADDR/PHONE NO.

Leon Ryan Situ  
1829 3rd Ave South Apt 108  
Minneapolis MN 55404 218-310-1023

OWNER OF BUSINESS PREMISES:

Leon Ryan Situ  
Wanmei Guan

LIQUOR: PLAT/PARCEL: \_\_\_\_\_

LICENSE PERIOD: 9/1/17 8/31/18

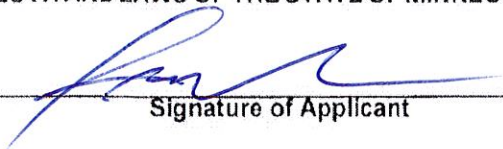
TRANSFERRED FROM:

Red Carpet Bottle House, Inc.

I HEREBY STATE THAT ALL INFORMATION HERE IS TRUE AND CORRECT AND THAT I SHALL COMPLY WITH ALL PROVISIONS OF THE ORDINANCES OF THE CITY OF DULUTH AND LAWS OF THE STATE OF MINNESOTA AND THEIR AMENDMENTS.

MAILING ADDRESS:

1829 3rd Ave South Apt 108  
Minneapolis MN 55404

  
Signature of Applicant



**CITY OF DULUTH**  
**CITY CLERK'S OFFICE**  
 330 City Hall  
 411 West First Street  
 Duluth, Minnesota 55802-1189  
 Phone (218) 730-5500  
 Fax (218) 730-5923

**APPLICATION FOR ALCOHOLIC BEVERAGE LICENSE**

1. Name of Applicant (**individual, partnership or corporation or association**) that owns the business to be licensed: Shanty Bottle Shop 2.0 Incorporated
2. Trade Name: Shanty Bottle Shop 2.0
3. Address of place to be licensed: 1231 E 4th Street Duluth MN 55805
4. Designated Serving Areas (i.e. ground floor, second, deck, etc.) N/A
5. Name and address of owner of building: Leon Situ  
 Any connection with applicant? Self Who receives the rent: Self
6. Who will direct the operation of the business or serve as manager on the premises? List name, address & title:  
Leon Situ & Wanmei Guan (co owners) 1829 3rd Ave South Apt 108 Minneapolis MN 55404
- 7.. If partnership, give name of each partner and percent of ownership, and if limited partnership, give details:  
N/A
8. If corporation, list all stockholders, directors, officers and percent of stock or number of shares owned by each:  
Leon Situ 50%, Wanmei Guan 50%
9. State approximate distance of this establishment from nearest academy, college, university, church or school:  
1/2 mil to Trinity Lutheran Church, 1.5 miles to UMD, 1-1.5 Miles to two K12 schools
10. State whether any consideration, money or property, has been paid, or will be paid, given, exchanged or pledged, by anyone, and to whom, for the purchase or operation of this business. State the amounts in detail: Approximately \$388,888 has been offered to current owner to Purchase Business and Property, Additional Approximately \$75,000 will be paid for current inventory

**Failure to answer all questions truthfully on this application and attached "Exhibit A" which is made a part thereof, will be just cause for revocation of your license.**

I (we) hereby certify that the applicant will be the sole owner and operator of this business to be conducted under the license and I (we) will notify the City Council in writing of any change in ownership in this business before the change is made, for the approval of the Alcohol Gambling & Tobacco Commission and City Council. I (we) have read the foregoing questions, and answers to said questions are true of my knowledge. I (we) will comply with all the provisions of the Alcoholic Beverage Code and the laws and regulations and their amendments.

Signature: [Signature] Date: 4/25/2018  
 Signature: Wanmei Guan Date: 4/25/2018

To be completed by each individual license, or each member of partnership, or two major stockholders of a corporation, or two primary officers of a club and the person who will be directing the operation of the business on the licensed premises.

**NOTE:** Type or print legibly and provide all information requested. Failure to do so may delay the issuance of this license.

**RENEWALS:** If this affidavit is made relative to the annual renewal of an existing license, fill out 1-4, and 11 & 12 on reverse side of this application. Questions 5 through 10 need be answered only as they relate to any changes in your status since the filing of your last affidavit.

1. License Applicant Shanty Bottle Shop 2.0 Incorporated  
(Individual, Partnership, Corporation or Club)

2. Address of licensed premises 1231 E 4th Streeth Duluth MN 55805

3. Your Name Leon Ryan Situ 03/01/1985  
(First) (Middle) (Last) ( Jr./Sr.) (Date of Birth)

4. Home Address 1829 3rd Ave S Apt 108 Minneapolis Henepin MN 55404  
(Address) (City) (County) (State) (Zip)

5. Other home addresses  
in last 10 years: 521 S 7th Street Apt 602 Minneapolis MN 55415  
2010 Allegheny St Duluth MN 55811

6. Other names you are, or have been known by, including maiden name: Zheng Liang Situ

7. Your position in the business: co owner  
(Owner, partner, president, treasurer, manager, etc.)

8. (a). Do you, your spouse, or your children have any pecuniary interest in the ownership, operation, management or profits of any establishment license in Minnesota to sell liquor or 3.2 beer either at retail or wholesale? Yes \_\_\_\_\_ No x.

(b). Do you, your spouse, or your children own stock in any corporation having a pecuniary interest in the ownership, operation, management or profits of any establishment license in Minnesota to sell liquor or 3.2 beer either at retail or wholesale? Yes \_\_\_\_\_ No x.

(c). If the answer to (a) or (b) is "yes", state the location of the establishments involved and fully describe the nature and extent of the interest.

9. Furnish the names and addresses of at least three business references, including one bank reference:

- (1) Lisa Yu, 1623 London Road Duluth MN 55812
- (2) Thomas Archer, 1732 London Road Duluth MN 55812
- (3) (Bank) Brett Kinney, Frandsen Bank 404 W Superior St Suit 210 Duluth MN 55803, 218-673-5050

10. (a). Have you or any corporation in which you held more than 10% stock, ever been denied a license to sell liquor or beer? Yes \_\_\_\_\_ No x

If yes, why \_\_\_\_\_  
\_\_\_\_\_

(b). Have you or any corporation in which you held more than 10% of the stock ever had a liquor or beer license suspended or revoked? Yes \_\_\_\_\_ No x

If yes, why? \_\_\_\_\_  
\_\_\_\_\_

11. Have you ever forfeited bail on or been convicted of violating any law relating to the operation of a bar or the sale, distribution, manufacture or transportation of alcoholic beverages? Gambling laws? Prostitution or disorderly house laws? Drug laws? Receiving or concealing stolen property? Assaults? Yes \_\_\_ No x

If yes, state the violation, where and when it occurred, the maximum possible penalty for the violation, and whether or not the record of the conviction has been expunged. \_\_\_\_\_  
\_\_\_\_\_

12. Have you read and do you understand the laws, rules and regulations of the State of Minnesota and the City of Duluth relative to the sale and distribution of alcoholic beverages? Yes x No \_\_\_\_\_

**I HEREBY AFFIRM UNDER PENALTY OF PERJURY THAT THE ABOVE ANSWERS ARE TRUE AND CORRECT.**

Warner Guan  
(WITNESS)

4/25/2018  
(DATE)

[Signature]  
(APPLICANT'S SIGNATURE)

To be completed by each individual license, or each member of partnership, or two major stockholders of a corporation, or two primary officers of a club and the person who will be directing the operation of the business on the licensed premises.

**NOTE:** Type or print legibly and provide all information requested. Failure to do so may delay the issuance of this license.

**RENEWALS:** If this affidavit is made relative to the annual renewal of an existing license, fill out 1-4, and 11 & 12 on reverse side of this application. Questions 5 through 10 need be answered only as they relate to any changes in your status since the filing of your last affidavit.

1. License Applicant Shanty Bottle Shop 2.0 Incorporated

(Individual, Partnership, Corporation or Club)

2. Address of licensed premises 1231 E 4th Street Duluth MN 55805

3. Your Name Wanmei Guan

(First)

(Middle)

(Last)

(.Jr./Sr.)

(Date of Birth)

4. Home Address 1829 3rd Ave S Apt 108 Minneapolis Henepin MN 55404

(Address)

(City)

(County)

(State)

(Zip)

5. Other home addresses  
in last 10 years: \_\_\_\_\_

6. Other names you are, or have been known by, including maiden name: \_\_\_\_\_

7. Your position in the business: co owner

(Owner, partner, president, treasurer, manager, etc.)

8. (a). Do you, your spouse, or your children have any pecuniary interest in the ownership, operation, management or profits of any establishment license in Minnesota to sell liquor or 3.2 beer either at retail or wholesale? Yes \_\_\_\_\_ No x

(b). Do you, your spouse, or your children own stock in any corporation having a pecuniary interest in the ownership, operation, management or profits of any establishment license in Minnesota to sell liquor or 3.2 beer either at retail or wholesale? Yes \_\_\_\_\_ No x

(c). If the answer to (a) or (b) is "yes", state the location of the establishments involved and fully describe the nature and extent of the interest.

9. Furnish the names and addresses of at least three business references, including one bank reference:

- (1) Lisa Yu, China Cafe 1623 London Road Duluth MN 55812, 218-728-0110
- (2) Thomas Archer, 1732 London Road Duluth MN 55812, 218-393-5995
- (3) (Bank) Brett Kinney, Frandsen Bank 404 W Superior St Suit 210 Duluth MN 55803, 218-673-5050

10. (a). Have you or any corporation in which you held more than 10% stock, ever been denied a license to sell liquor or beer? Yes \_\_\_\_\_ No x

If yes, why \_\_\_\_\_  
\_\_\_\_\_

(b). Have you or any corporation in which you held more than 10% of the stock ever had a liquor or beer license suspended or revoked? Yes \_\_\_\_\_ No x

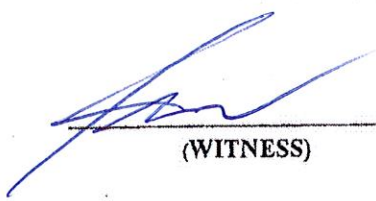
If yes, why? \_\_\_\_\_  
\_\_\_\_\_

11. Have you ever forfeited bail on or been convicted of violating any law relating to the operation of a bar or the sale, distribution, manufacture or transportation of alcoholic beverages? Gambling laws? Prostitution or disorderly house laws? Drug laws? Receiving or concealing stolen property? Assaults? Yes \_\_\_ No x

If yes, state the violation, where and when it occurred, the maximum possible penalty for the violation, and whether or not the record of the conviction has been expunged. \_\_\_\_\_  
\_\_\_\_\_

12. Have you read and do you understand the laws, rules and regulations of the State of Minnesota and the City of Duluth relative to the sale and distribution of alcoholic beverages? Yes x No \_\_\_\_\_

**I HEREBY AFFIRM UNDER PENALTY OF PERJURY THAT THE ABOVE ANSWERS ARE TRUE AND CORRECT.**

  
(WITNESS)

4/25/2018  
(DATE)

  
(APPLICANT'S SIGNATURE)

**AFFIDAVIT/APPLICATION  
CONDITIONAL OFF SALE INTOXICATING LIQUOR LICENSE**

As authorized by MS 340A.408 Subd 3, and the Duluth City Council Resolution 07-0723, the named Off Sale Intoxicating Liquor licensee makes application for a "Conditional Off Sale Intoxicating Liquor license" under the terms and conditions stated as follows:

1. The licensee agrees to have a private vendor train all employees within 60 days of hire and annually thereafter in laws pertaining to the sale of alcohol, the rules for identification checks, and the responsibilities of establishments serving intoxicating liquors.

*Documentation of training for staff must be filed in the City Clerk's office at the time of submitting this application.*

2. The licensee agrees to post a policy requiring identification checks for all persons appearing to be 30 years old or less.

*This policy needs to be filed in the City Clerk's office at the time of submitting this application.*

3. A cash award and incentive program is established by the licensee, to award employees who catch underage drinkers, and a penalty program is established to punish employees in the event of a failed compliance check.

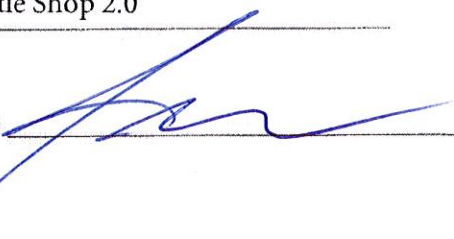
*This cash awards and incentive program needs to be filed in the City Clerk's office at the time of submitting this application.*

I hereby affirm and under penalty of perjury, understand the aforementioned terms and conditions of a "Conditional Off Sale Intoxicating Liquor License" and that any false statements and failure to comply with the terms & conditions can and will be grounds for revocation of the Off Sale Intoxicating License I am granted.

Licensee Shanty Bottle Shop 2.0 Incorporated  
(Owner/Corporation Name)

Trade Name Shanty Bottle Shop 2.0  
(d/b/a)

Authorized Representative



Date

4/25/2018

MN STATUTE 270C.72 TAX IDENTIFICATION FORM

Pursuant to Minnesota Statute 270C.72, Tax Clearance Required: The licensing authority is required to provide the Minnesota Commissioner of Revenue the business tax identification number and social security number of each applicant. Under the Minnesota Government Data Practices Act and the Federal Privacy Act of 1974, we are required to advise you of the following regarding the use of this information:

1. This information may be used to deny the issuance, renewal or transfer of your license in the event you owe the Minnesota Department of Revenue delinquent taxes, penalties or interest.
2. Upon receiving this information, the licensing authority will supply it only to the Minnesota Department of Revenue. However, under the Federal Exchange of Information Agreement, the Department of Revenue may supply this information to the Internal Revenue Service.
3. Failure to supply this information may jeopardize or delay the processing of your licensing issuance or renewal application.

Please supply the following information and return along with your application to the agency issuing the license.

License applied for or renewed: \_\_\_\_\_

Licensing authority: City of Duluth, St. Louis County, Minnesota

License renewal date: \_\_\_\_\_

Personal Information (if applicable)

Applicant's Name: \_\_\_\_\_

Applicant's Address: \_\_\_\_\_

Social Security Number: \_\_\_\_\_

Business Information (if applicable)

Business Name: Shanty Bottle Shop 2.0 Incorporated

Business Address: 1231 E 4th St. Duluth MN 55805

Minnesota Tax Identification Number: 5595725

Federal Tax Identification Number: 82-5214255

If a MN Tax I.D. is not required, please explain:

Signature  \_\_\_\_\_

Date 4/25/2018